I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. ET575270084US, in an envelope addressed to: Commissione for Patents, Washington, DC 20231, on the date shown below.

APR 2 5 2002 5 2003

TECH CENTER 1600/2900 Docket No.: P01910US0

Dated: 4-17-02

Signature

Tan K. Simpson

APR 2 2 2002

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

TRADE. J. Harper, et al.

Application No.: 09/890,289

Group Art Unit: 5071

Filed: January 13, 2000

Examiner: Not Yet Assigned

For: ANTIOXIDANT COMPOSITIONS AND METHODS FOR COMPANION ANIMALS

# PETITION AND FEE TO ADD TO ORIGINAL ERRONEOUSLY NOT NAMED INVENTOR IN NONPROVISIONAL APPLICATION DECLARATION UNDER 37 C.F.R. § 1.48(A)

Commissioner for Patents U.S. Patent and Trademark Office Washington, DC 20231

Dear Sir:

This petition is to correct the incorrect original naming of inventors in the application-declaration under 37 C.F.R. 1.48(a) as set forth and filed on July 27, 2001.

Applicants respectfully request that the following previously unnamed person be added as an inventor of this application:

### **Inventor Information:**

Name:

Paul Richard Heaton

Address:

Waltham Centre for Pet Nutrition

Waltham-on-the-Woods Leicestershire LE14 4RT

United Kingdom

Citizenship:

United Kingdom

04/24/2002 SDENBOB1 00000085 09890289

01 FC:122

130.00 OP

Application No.: 09/890,289 Docket No.: P01910US0

Attached with this petition is an Assent of Assignee to Addition of Inventor (37 C.F.R. 1.48(a)(4).

Attached is the filing fee of \$130.00 for this petition. Applicant believes no additional fee is due. However, if an additional fee is due, please charge the deposit account of Fulbright & Jaworski L.L.P., 06-2375 under Order No. 09908703 from the undersigned is authorized to draw. A Fee Transmittal (in duplicate) is attached.

By

Respectfully submitted,

Dated: 4-27-02

Jan K. Simpson

Registration No.: 33,283

FULBRIGHT & JAWORSKI L.L.P.

1301 McKinney, Suite 5100 Houston, Texas 77010-3095

(713) 651-5383

Attorneys for Applicant

## RECEIVED

APR 2 5 2002

**TECH CENTER 1600/2900** 

Docket No.: P01910US0

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. ET575270084US, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date shown below.

Dated: 4-11-07

Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

MADENTE Patent Application of:

E. J. Harper, et al.

Application No.: 09/890,289

Group Art Unit: 5071

Examiner: Not Yet Assigned Filed: January 13, 2000

For: ANTIOXIDANT COMPOSITIONS AND METHODS FOR COMPANION ANIMALS

## ASSENT OF ASSIGNEE TO ADDITION OF INVENTOR

Commissioner for Patents Washington, DC 20231

Dear Sir:

Mars UK Unlimited 3D Dundee Road Berkshire, Slough SL1 4LG UNITED KINGDOM

The assignment filed on November 2, 2001 a copy of which along with Form PTO 1595 is attached.

Assignee hereby assents to the correction of inventorship filed herewith.

A Statement Under 37 C.F.R. § 3.73(b) is attached.

MARS UK LIMITED

Robert R. Schroeder **Assistant Secretary** 





Approved for eserth out in 1931/2002 OMB 0555 10032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF DOMINERCE ons are required to respond to a collection of information unless it contains a valid OMB control number.

## **DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN**

APPLICATION DATA SHEET (37 CFR 1.76)						
Title of Invention	ANTIOXIDANT COMPOSITIONS AND METHODS FOR COMPANION ANIMALS					
As the below named	d inventor(s), I/we declare that	i:				
The declaration is di	irected to:			1		
The attached a	pplication, or					
X Application No.	. 09/890,289	_ , filed on _	01/1:	3/2000 ,		
as amende	ed on	(if application	able).	·		
	I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;					
	and understand the contents nendment specifically referred		lentified appl	lication, including the claims, as		
to me/us to be mate material information	rial to patentability as defined	in 37 CFR 1.56, ween the filing da	including for ate of the prio	nark Office all information known continuation-in-part applications, or application and the national or		
belief are believed to statements and the	All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.					
TILL NAME(S) OF	IN CHTODO.					
FULL NAME(S) OF						
Inventor one: Pau	11. Healon	Oiri	-			
Signature:	1-4004	Citiz	en ot:	United Kingdom		
Inventor two:	<del></del>					
Signature:		Citiz	zen of:			
Inventor three:		<del></del>		<del>,</del>		
Signature:		Citiz	zen of:			
Inventor four:						
Signature:		Citiz	zen of:			
Additional invent	tors are being named on	additional for	rm(s) attached	hereto.		
		To the Dete	·			
			Service as Expr	ress Mail, Airbill No. ET575270084US, in		
Dated: 4-11-01 Signature: (Neva M. Dare)						

25112436



## STATEMENT UNDER 37 CFR 3.73(b)

Applican	t/Patent Owner:	Paul R. Heaton, et al.			EH 3
Applicati	on No./Patent No.:	09/890,289	Filed/Issue Date:	January 13, 200	2002 2002 2002 2002
Entitled:	ANTIOXIDANT	COMPOSITIONS AND N	METHODS FOR COMPANI	ON ANIMALS	8
<u> </u>	Mars UK L (Name of As	imited , a signee)	Type of Assignee, e.g., corporal	rporation ion, partnership, university, gency, etc.	government
states th	at it is:			•	
1. x	the assignee of t	he entire right, title, and ir	nterest; or		
2.		ess than the entire right, ti ercentage) of its ownersh			
in the pa	tent application/pat	ent identified above by vi	rtue of either:		
A. [x]	recorded in the Un		atent application/patent ider ademark Office at Reel		signment was
OR B. [ ]	A chain of title from assignee as shown		atent application/patent ider	ntified above, to the cu	ırrent
	1. From:		To: d States Patent and Traden		<del></del> .
			d States Patent and Traden, or for which a copy the		
	2. From:	<del></del>	To:		
		was recorded in the Unite	d States Patent and Trader	nark Office at	<del>.</del>
	Reel	, Frame	, or for which a copy the	ereof is attached.	
	3. From:		To:		
			d States Patent and Trader		
	Reel	, Frame	, or for which a copy the	ereof is attached.	
	[ ] Additional docu	uments in the chain of title	e are listed on a supplemen	tal sheet.	
[x]	[NOTE: A separat document) must be	e copy (i.e., the original a e submitted to Assignmen	in the chain of title are attact essignment document or a to at Division in accordance wi of the USPTO. <u>See</u> MPEP	ue copy of the origina th 37 CFR Part 3, if th	
The und	ersigned (whose tit	le is supplied below) is au	thorized to act on behalf of	the assignee.	
	<i>4-77</i> Date	-07	Jan K. Simpson	- Reg. No. 33, 283	
_	Date		Typed or	printed name	
		_	Jank.	17	
			//	nature	
		_	<u> </u>	ge <b>n</b> t Title	

Statement By Assignee to Establish Ownership (37 CFR 3.73(b))  I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. ET575270084US, i an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date shown below.					
Dated: April 22, 2002	Signature:	(Jan K. Simpson)			



## RECEIVED

MAY 0 3 2002

#### T U

TECH CENTER 1600/2000

(Rev. 03/01)  OMB No. 0851-0027 (exp. 5/31/2002)  PATENTS	U.S. Patent and Trademark Office
To the Honorable Commissioner of Patents and Trademarks: P	lease record the attached original documents or copy thereof
Name of conveying party(ies):	2. Name and address of receiving party(ies)
E. Jean Harper	
_, _, _, _, _, _, _, _, _, _, _, _, _, _	Name: Mars UK Limited
	Internal Address: Street Address:
Additional name(s) of conveying party(ies) Yes X No	3D Dundee Road Berkshire
3. Nature of Conveyance:	•
X Assignment Merger	
Security Agreement Change of Name	City: Slough
Other	State: United Kingdom Zip: SL1 4LG
Execution Date: August 24, 2001	Additional name(s) & Yes X No address(es) attached:
Application number(s) or patent number(s):	
If this document is being filed together with a new application, the extension A. Patent Application No.(s):  09/890,289  Additional numbers attached	B. Patent No.(s):
Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved:
Name: Jan K. Simpson FULBRIGHT & JAWORSKI L.L.P.	7. Total fee (37 CFR 3.41) \$
Internal Address: Atty. Dkt.: P01910US0	Enclosed
Street Address: 1301 McKinney, Suite 5100	Authorized to be charged to deposit account
	8. Deposit account number:
	06-2375; Order No. 998703
City:         State:         Zip:           Houston         TX         77010-3095	(Attach duplicate copy of this page if paying by deposit account)
DO NOT USE T	THIS SPACE
9. Statement and signature.	
To the best of my knowledge and belief, the foregoing info is a true copy of the original document.	nmation is true and correct and any attached copy
Jan K. Simpson	November 2, 2001
	ghaxure Date
Total number of pages including cover sheet, attach	ments, and documents: 4

Recordation Form Cover Sheet

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. ET575270209US, in an envelope addressed to: Box Assignments, Commissioner for Patents, Washington, DC 20231, on the date shown below.

Dated: November 2, 2001

Signature: \_\_\_\_

<u> Care</u> (

(Neva M. Dare)

#### ASSIGNMENT BY INVENTOR

THIS ASSIGNMENT, made this \_\_\_\_\_\_\_ day of \_\_\_\_\_\_\_, 2001 by E. Jean Harper (hereinafter referred to as Assignor), residing at Waltham Centre for Pet Nutrition, Waltham-on-the-Wolds, Leicestershire, LE14 4RT, UNITED KINGDOM;

WHEREAS, Assignor has invented certain new and useful improvements in ANTIOXIDANT COMPOSITIONS AND METHODS FOR COMPANION ANIMALS, set forth in a Patent application for Letters Patent of the United States, already filed on Jan. 13, 2000 as U.S. application No. 09/890, 289; and

WHEREAS, Mars UK Limited, a corporation organized under and pursuant to the laws of United Kingdom having its principal place of business at 3D Dundee Road; Berkshire; Slough; SL1 4LG; UNITED KINGDOM (hereinafter referred to as Assignee), is desirous of acquiring the entire right, title and interest in and to said inventions and said Application for Letters Patent of the United States, and in and to any Letters Patent of the United States to be obtained therefor and thereon.

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, Assignor has sold, assigned, transferred and set over, and by these presents does sell, assign, transfer and set over, unto Assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions and application for Letters Patent, and in and to any and all direct and indirect divisions, continuations and continuations-in-part of said application, and any and all Letters Patent in the United States and all foreign countries which may be granted therefor and thereon, and reissues, reexaminations and extensions of said Letters Patent, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by Assignee, for its own use and benefit and the use and benefit of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent may be granted and/or extended, as fully and entirely as the same would have been held and enjoyed by Assignor, had this sale and assignment not been made.

AND for the same consideration, Assignor hereby represents and warrants to Assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, except for any rights, titles and/or interests that have arisen to Assignee under law or that have already been transferred to Assignee, Assignor is the sole and lawful owners of the entire right, title and interest in and to the said inventions and application for Letters Patent above-mentioned, and that the same are unencumbered and that Assignor has good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, Assignor hereby covenants and agrees to and with Assignee, its successors, legal representatives and assigns, that Assignor will sign all papers and documents, take all lawful oaths and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of any Letters Patent and applications for Letters Patent for said inventions, without charge to Assignee, its successors, legal representatives and assigns, whenever counsel of Assignee, or counsel of its successors, legal representatives and assigns, shall advise: that any proceeding in connection with said inventions, or said Patent application for Letters Patent, or any proceeding in connection with any Letters Patent or applications for Letters Patent for said inventions in any country, including but not limited to interference proceedings, is lawful and desirable; or, that any division, continuation or continuation-in-part of any application for Letters Patent, or any reissue, reexamination or extension of any Letters Patent, to be obtained thereon, is lawful and desirable.

AND Assignor hereby requests the Commissioner of Patent and Trademarks to issue said Letters Patent of the United States to Assignee, as Assignee of said inventions and the Letters Patent to be issued thereon, for the sole use and benefit of Assignee, its successors, legal representatives and assigns.

AND Assignor hereby grants the following individuals the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document:

### FULBRIGHT & JAWORSKI L.L.P.

Melissa W. Acosta	45,872	- J. Ashley Herring	<del>- 17,644</del>	John E. Schneider	31,998
Alberto Q. Amatong,	41,580	Paul E. Krieger	25,886	Molissa Schwaller	46,089
Ronald G. Bliss	28,691	Michael S. McCoy	46,913	Jan K. Simpson	33,283
Gino Catena	45,546	John M. Mings	35,955	Melissa L. Sistrunk	45,579
Paul L. DeVerter, II	19,747	Thomas D. Paul	32,714	Edward D. Steakley	47,964
Marc L. Delflache	28,942	Jayne C. Piana	P-48,424	William A. Stout	18,773
David L. Fox	40,612	Doak C. Procter, IV	P-48,705	Charles B. Walker, Jr.	43,231
Eric B. Hall	46,751	James W. Repass	30,487	Richard S. Zembek	43,306

AND Assignor acknowledges an obligation of assignment of this invention to Assignee at the time the invention was made.

 $\frac{24/08/01}{Date}$ 

E. Jean Harper